

ANNUAL PLAN FOR FISCAL YEAR 2012

LIVERMORE HOUSING AUTHORITY

Adopted: March 14, 2012
Resolution #575

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>Housing Authority of the City of Livermore</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY) : <u>07/01/12</u> PHA Code: <u>074</u>												
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>125</u> Number of HCV units: <u>719</u>												
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only												
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)												
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program <table border="1"> <tr> <th>PH</th> <th>HCV</th> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> </table>	PH	HCV						
PH	HCV												
	PHA 1:												
	PHA 2:												
	PHA 3:												
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.												
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:												
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.												
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: <u>None</u> (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. Copies of the 5-Year and Annual Plans can be reviewed or copies obtained at the Livermore Housing Authority Administration Office, 3203 Leahy Way, Livermore, CA 94550, during normal business hours. All other Plan elements are addressed in the Section 8 Housing Choice Voucher Administrative Plan and the Public Housing Admissions and Occupancy Policies. These are also available for review at the Livermore Housing Authority Administration Office during normal working hours.												
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> The Livermore Housing Authority continues to administer a Section 8 Home Ownership Program with three participants. The Livermore Housing Authority continues to administer a Section 8 Project Based Program with 80 units under contract. Project Based units are an option to Tenant based assistance: by providing access to neighborhoods outside of high poverty areas; by providing special needs housing for elderly or disabled families; by assisting families that have had difficulty finding housing due to language, size of family, etc; and by providing landlords with a pool of applicants to choose from.												
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.												
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. Ca074a01												
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. Ca074b01												

8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. Ca074c01
9.1	Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. Ca074c01
10.0	Additional Information. Describe the following, as well as any additional information HUD has requested. (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. Ca074d01 (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" Ca074e01
11.0	Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) Ca074f01 (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) Ca074g01 (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) Ca074h01 (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) Ca074i01 (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) Ca074j01 (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations Ca074k01 . (g) Challenged Elements No challenged elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) Ca074a01 (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only) Ca074b01

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: 1) development name and number; 2) designation type; 3) application status; 4) date the designation was approved, submitted, or planned for submission, and; 5) the number of units affected.

7. **Community Service and Self-Sufficiency.** A description of: (1) Any programs relating to services and amenities provided or offered to assisted families; (2) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; (3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (Note: applies to only public housing).

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.

10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.

11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.

12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.

13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.**

1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at:
<http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>

- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at:
http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm

Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.

- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at:
<http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

- 8.0 **Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

- 8.1 **Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

- 8.3 **Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. (Note: Standard and Troubled PHAs complete annually).

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

ATTACHMENTS

Ca074a02	HUD 50075.1 Capital Fund Annual Statement/Performance and Evaluation Report
Ca074b02	HUD 50075.2 Capital Fund Program Five-Year Action Plan
Ca074c02	Housing Needs
Ca074d02	Progress in meeting Mission and Goals
Ca074e02	Significant Amendment and Substantial Deviation/Modification
Ca074f02	HUD 50077 Certification of Compliance with PHA Plans and related regulations
Ca074g02	HUD 50070 Certification of Drug-Free Workplace
Ca074h02	HUD 50071 Certification of Payments to Influence Federal Transactions
Ca074i02	SF-LLL Disclosure of Lobbying Activities
Ca074j02	SF-LLL-A Disclosure of Lobbying Continuance Sheet
Ca074k02	Resident and Public Comments
Ca074l02	Civil Rights Certification
Ca074m02	HUD 50077-SL Certification by State or Local Official of consistency with the Consolidated Plan
Ca074n02	HUD50075.1 FFY 2009
Ca074o02	HUD50075.1 FFY 2010
Ca074p02	HUD50075.1 FFY 2011

Annual State Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the City of Livermore

Grant Type and Number
 Capital Fund Program Grant No: CA39PO7450112
 Replacement Housing Factor Grant No:
 Date of CFFP:

Ca074a02

FFY of Grant: 2012
 FFY of Grant Approval: 2012

Type of Grant		Performance and Evaluation Report for Period Ending:		Revised Annual Statement (revision no:)		Total Actual Cost ¹	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Summary by Development Account		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report		Total Estimated Cost Obligated	
Line		Original	Revised ²	Obligated	Expended		
1	Total non-CFF Funds						
2	1406 Operations (may not exceed 20% of line 21) ³	\$181,239					
3	1408 Management Improvements						
4	1410 Administration (may not exceed 10% of line 21)						
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs						
8	1440 Site Acquisition						
9	1450 Site Improvement						
10	1460 Dwelling Structures						
11	1465.1 Dwelling Equipment—Nonexpendable						
12	1470 Non-dwelling Structures						
13	1475 Non-dwelling Equipment						
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities ⁴						

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the City of Livermore	Grant Type and Number Capital Fund Program Grant No: CA39PO7450112 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2012 FFY of Grant Approval: 2012
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Type of Grant

☒ Original Annual Statement ☐ Reserve for Disasters/Emergencies
☐ Performance and Evaluation Report for Period Ending:

☐ Revised Annual Statement (revision no:)
☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Original	Total Estimated Cost	Revised ²	Obligated	Total Actual Cost ¹	Expended
18a	1501 Collateralization or Debt Service paid by the PHA						
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment						
19	1502 Contingency (may not exceed 8% of line 20)						
20	Amount of Annual Grant: (sum of lines 2 - 19)		\$181,239				
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 504 Activities						
23	Amount of line 20 Related to Security - Soft Costs						
24	Amount of line 20 Related to Security - Hard Costs						
25	Amount of line 20 Related to Energy Conservation Measures						
Signature of Executive Director <i>Don D. Horney</i>		Date 2/15/12		Signature of Public Housing Director		Date 2/15/12	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Federal FY of Grant: 2012

1

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Federal FY of Grant:

[illegible]

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

PHA Name: Housing Authority of the City of Livermore

Federal FFY of Grant: 2012

Reasons for Revised Target Dates

[illegible]

form HUD-50075.1 (4/2008)

Capital and Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Indian Housing
Expires 4/30/2011

Ca074b02

Part I: Summary

Housing Authority of the City of Livermore		Livermore, CA 94550		<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
A. Ca074 Leahy Square					
B. Physical Improvements Subtotal	Annual Statement				
C. Management Improvements					
D. PHA-Wide Non-dwelling Structures and Equipment					
E. Administration					
F. Other					
G. Operations		\$181,239	\$181,239	\$181,239	\$181,239
H. Demolition					
I. Development					
J. Capital Fund Financing - Debt Service					
K. Total CFP Funds					
L. Total Non-CFP Funds					
M. Grand Total					

PHA Name/Number

[illegible]

Work Statement for Year 2012

Work Statement for Year 1 FFY Year 1 FFY 2011	Work Statement for Year 2012 FFY		Work Statement for Year: 2013 FFY		Estimated Cost
	Development Number/Name General Description of Major Work Categories	Quantity	Development Number/Name General Description of Major Work Categories	Quantity	
See Annual Statement	Ca074		Ca074	1	\$181,239
			Operations		
			Leahy Square		

Work Statement for Year: 2015
FFY

Work Statement for Year 1 FFY _____	Work Statement for Year _____ 2014 _____ FFY		Work Statement for Year: _____ 2015 _____ FFY			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See _____	Ca074	1	\$181,239	Ca074	1	\$181,239
Annual Statement	Operations			Operations		
	Leahy Square			Leahy Square		

Work	Work Statement for Year _____
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[illegible]

Work Statement for	Work Statement for Year FFY
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[illegible]

HOUSING NEEDS

There is a great unmet need for affordable housing in Livermore which is detailed in the 2005-2010 Consolidated Plan covering the LHA jurisdiction. These are identified by income, family type and specific housing problems.

Household income is a key factor in a family's ability to balance housing costs with other basic necessities. Low income households had a disproportionate need for Housing Assistance compared with moderate income households. A current weak economy, loss of jobs and a tight housing market have developed a number of "working poor" who need housing assistance.

In addition, low income households (31%-50% MFI) experienced one or more housing problems including overcrowding and inadequate facilities.

Demographic changes have increased the number of non-English speaking populations.

The Livermore Housing Authority is committed to ensuring that Housing and social services meet the needs of all income levels. The following strategies will be used to achieve the Goals set the LHA:

Goal: Maximize the number of affordable units available to the LHA within its current resources by:

- Continuing to maintain the Public Housing, Housing Choice Voucher and Low-Rent housing to provide safe and sanitary units.
- Reducing turnover time for vacated public housing units.
- Continuing to pursue additional housing by aliening with the local government and private agencies. This includes participation in the Consolidated Plan development process.
- Continuing to develop the Housing Choice Voucher Home Ownership program.
- Continuing to encourage community support to develop affordable housing.
- Continuing to encourage local property owners to participate in the HCV Program particularly outside of areas of minority and poverty concentration.
- Undertaking measures to ensure access to affordable housing among families assisted by the LHA, regardless of unit size required.
- Adjusting HCV payment standards that will enable families to rent in the jurisdiction.

- Maintaining or increasing HCV lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of the program.
- Continuing the Section 8 Project Based Program.

Goal: Increase the number of affordable housing by:

- Applying for additional Section 8 units should they become available.
- Leveraging affordable housing resources in the community through the creation of mixed-finance housing.
- Continuing to pursue housing resources other than public housing or Section 8 tenant based assistance.

Goal: Target available assistance to families at or below 30% if AMI by:

- Adopting rent policies to support and encourage work.

Goal: Target available assistance to families at or below 50% if AMI by:

- Employing admissions preferences aimed at families who are working.
- Adopting rent policies to support and encourage work.

Goal: Target available assistance to Families with Disabilities by:

- Carrying out any modifications needed in public housing based on the Section 504 Needs Assessment for Public Housing.
- Providing special accommodations, when warranted, in Public Housing and HCV Programs.
- Applying for special purpose vouchers targeted to families with disabilities, should they become available.

Goal: Increase awareness of LHA resources among families of races and ethnicities with disproportionate needs by:

Conducting activities to affirmatively further fair housing by:

- Counseling HCV tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units.
- Marketing the Section 8 HCV program to owners outside of areas of poverty/minority concentrations.
- Providing language assistance to those families that English is not a first language.

The following will influence the LHA's selection of strategies:

- Funding restraints
- Staffing constraints
- Limited availability of sites for assisted housing.
- Extent to which particular housing needs are met by other organizations in the community.

PROGRESS IN MEETING MISSION AND GOALS OF 5-YEAR PLAN

LHA Goal: Increase the availability of decent, safe and affordable Housing.

Expand the supply of assisted housing.

- The Livermore Housing Authority continues to align itself with the City of Livermore to develop more affordable units in the city.
- The Housing Authority has implemented a Section 8 Housing Choice Voucher (HCV) Home Ownership Program. Referrals are made by staff for credit and lending advice.
- The Project Based HCV Program continues to be used to provide for special needs of families having difficulty finding affordable housing.
- The Section 8 HCV Special Housing types are available, if needed.
- The Housing Authority will apply for additional rental vouchers should they become available.

LHA Goal: Improve the quality of assisted housing.

- The Housing Authority continues to maintain 125 units of Public Housing, 42 units of low-rent housing including 7 transitional units and 719 Housing Choice Voucher units.
- The Housing Authority maintains a Preventive Maintenance Program for the Leahy Square Apartments as well as the Bluebell Apartments, the Chestnut Apartments and the Las Posadas Apartments.
- The physical condition of LHA properties continues to improve with schedules for tree trimming, gutter repair, appliance replacement, heater replacements, etc.
- Bids will be accepted in March 2012 to install walkways from back of units to sidewalks at Leahy.
- Seven Leahy Square Apartment buildings have been re-roofed with the remainder to be scheduled in 2012.
- The Housing Authority continues to improve and maintain housing management.

LHA Goal: Increase assisted housing choices.

- Payment Standards in the Section 8 HCV Program are continuously analyzed and adjusted as needed to help families obtain and retain affordable housing.
- The Housing Authority has partnered with the Tri-Valley Opportunity Center and ECHO housing to assist families acquire and maintain housing.

- The ongoing development of the HCV Home Ownership Program has increased opportunities for tenants.

LHA Goal: Provide an improved living environment.

- The Housing Authority continues to improve the physical condition of LHA properties by using Capital Funding and applying for other resources.
- The Housing Authority does criminal background checks on all adult applicants and residents.
- The Housing Authority continues to partner with the Livermore Police Department to maintain security on Housing Authority properties. Private security services are provided on weekends.
- The Housing Authority provides information for residents by posting materials and providing referral information.
- Improved communications with residents has encouraged positive input.
- The Housing Authority complies with the Violence Against Women Act (VAWA) to support and assist victims of domestic violence, dating violence, sexual assault or stalking.

LHA Goal: Promote Self-Sufficiency and asset development of families.

- On-site Pre-School Program (CAPE) provides services to resident children.
- Counseling services and referrals are provided for Family Self-Sufficiency (FSS) and transitional families.
- The HCV Home Ownership program continues to counsel families.
- The Housing Authority provided scholarship monies to Public Housing and HCV family members. \$4000 in scholarship monies were awarded in 2011.
- The Housing Authority provides waiting list preferences to encourage self-sufficiency.

LHA Goal: Ensure equal opportunity and affirmatively further Fair Housing.

- The Housing Authority undertakes affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status or disability.
- The Housing Authority continues to work with disability groups to provide supportive services for families with disabilities and elderly to find and maintain accessible housing.
- Upon request, the Housing Authority will provide special accommodations for persons with disabilities so that they may participate in its programs.

- The Housing Authority assesses needs of families who have Limited English Proficiency (LEP). When requested, information is translated, both oral or written, using both staff and translation services.
- Fair Housing information is provided.
- The Housing Authority continues to assist all families by updating and implementing policies in accordance with HUD regulations.

Other Housing Authority Goals and Objectives.

- The Housing Authority continues to maintain efficient asset management.
- The Housing Authority periodically reviews operations to keep up with changing needs and requirements.
- The Housing Authority continues management/staff professional development relating to customer service, program technical capacity and interpersonal communication skills.
- The Housing Authority continues to deter and eliminate Program fraud by taking these steps to prevent fraud, waste or mismanagement:
 - Written materials are provided promoting understanding of Program rules. Upon request, these are provided in other languages.
 - Program briefings are required prior to participation in any program.
 - Reporting responsibilities are reviewed at all renewal interviews.
 - Individual tenant conferences are held, when needed.
 - Signs are posted in the management office.
 - The Housing Authority utilizes the Enterprise Income Verification (EIV), a web based computer system that contains employment and income information of individuals who participate in HUD rental assistance programs.
 - The Housing Authority continues to improve its Internal Quality Control system.

"SUBSTANTIAL DEVIATION" AND

SIGNIFICANT AMENDMENT OR MODIFICATION

The Livermore Housing Authority will define the following amendments of modifications as considerable departure from present 5-year and Annual Plan:

- significant changes to admissions policies;
- significant changes of waiting list preferences;
- significant changes of non-emergency work items, over \$50,000, not included in current annual statement or 5-year action plan; or
- Change of homeowner activities.

Any change adopted to reflect HUD regulatory requirements will not be considered "substantial" or "significant". These changes will not be considered significant amendments.

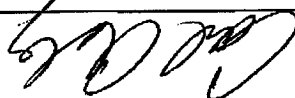
<p>U.S. Department of Housing and Urban Development Office of Public and Indian Housing Expires 4/30/2011</p>	<p>PHA Certifications of Compliance with PHA Plans and Related Regulations</p>
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RESOLUTION # 575

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners. I approve the submission of the _____ 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning 7/1/11. Hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

Signature 	Date 3/14/12
Name of Authorized Official CARL ASBURY	Title CHAIR, BOARD OF COMMISSIONERS

I hereby certify that all the information stated herein, as well as any information provided in the accompanying herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012, 31 U.S.C. 3729, 3802)

HOUSING AUTHORITY OF THE CITY OF LIVERMORE

PHA Name _____

PHA Number/HA Code _____

Ca074

5-Year PHA Plan for Fiscal Years 20 _____ - 20 _____

Annual PHA Plan for Fiscal Years 2012 - 20 _____

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available as part of this certification that:
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Certification for a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant Name

Housing Authority of the City of Livermore

Ca074g02

Program/Activity Receiving Federal Grant Funding

2012 Annual Plan

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Jon D. Hovey

Title

Executive Director

Signature

X

Jon D. Hovey

Date

11/10/12

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of Livermore

Ca074h02

Program/Activity Receiving Federal Grant Funding

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

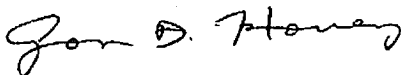
Name of Authorized Official

Jon D. Hovey

Title

Executive Director

Signature



Date (mm/dd/yyyy)

01/10/2012

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by OMB
0348-0046

1. Type of Federal Action: <input checked="checked" type="checkbox"/> a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance		2. Status of Federal Action: <input checked="checked" type="checkbox"/> a. bid/offer/application b. initial award c. post-award		3. Report Type: <input checked="checked" type="checkbox"/> a. initial filing b. material change For Material Change Only: year _____ quarter _____ date of last report _____	
4. Name and Address of Reporting Entity: <input checked="checked" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c		5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:			
6. Federal Department/Agency: U.S. DEPARTMENT OF HOUSING & URBAN DEV		7. Federal Program Name/Description: 2012 AGENCY PLAN CFDA Number, if applicable: _____			
8. Federal Action Number, if known:		9. Award Amount, if known: \$			
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): NONE		b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):			
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.		Signature: <u>Jon D. Hovey</u> Print Name: Jon D. Hovey Title: Executive Director Telephone No.: (925) 447-3600 Date: 01/10/12			
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)			

**DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET**

Approved by OMB
0348-0046

Reporting Entity: _____

Page _____

of _____

RESIDENT AND PUBLIC COMMENTS

LIVERMORE HOUSING AUTHORITY

Resident Advisory Board Meeting

All residents of the Livermore Housing Authority's Section 8 Housing Choice Voucher Program and residents of the Leahy Square Public Housing Apartments are considered members of the Residency Advisory Board (RAB) for purposes of preparation and review of the Annual Plan.

The Resident Advisory Board (RAB) meeting to review the 2012 Annual Plan was held on November 30, 2011 at 5:30PM in the Administration Offices of the Livermore Housing Authority.

Staff Present:

Louise M. Jaramillo, Asst. Director/Housing Operations

Introduction: Staff reviewed the regulatory process that the Livermore Housing Authority is required to go through each year as part of developing the Agency Plan. The Livermore Housing Authority will be submitting its 2012 Annual Plan. The purpose of the Advisory Board was reviewed and participants were encouraged to participate by asking questions, providing ideas and making comments.

A question was asked regarding when the Section 8 HCV Program was opened and how many applicants responded. The Livermore Housing Authority contracted a private company to take names for the Program as limited staff would not be able to handle the anticipated response. This was done through the internet. Names were taken April 26, 2011 through May 2, 2011. A total of 17, 514 names were taken.

A question was asked regarding the process of setting rent amounts. The question was why gross income is used as opposed to actual income the family has to work with. Procedures for rent calculation are HUD mandated. A short explanation was given.

A resident asked about completely gating Leahy as many of the problems seem to involve persons that do not reside at Leahy. Staff is still researching this.

A participant requested an explanation of the earned income disallowance. A short explanation was given. One resident stated that it helped her as she had not worked for a

while. Her rent adjustments were done slowly, not all at once, and she was able to budget her expenses.

A Leahy resident voiced her concern about the groups of young "gang" members still gathering on the property when the management office is closed. She stated that one or two appear to be Leahy residents but most are not. She was advised that management is aware of the Leahy residents involved. Residents need to continue calling police when these groups congregate. Police have responded when called.

There were no further comments. There were no comments or recommendations in regards to the Annual Plan itself.

PUBLIC NOTICE

A Public Notice was published on January 20, 2012, inviting public comment regarding the Agency Plan and notifying the public of the Public Hearing scheduled for March 6, 2012. Copies of the Draft and supporting documents were made available for review during normal business office at the Livermore Housing Authority's Administration office.

PUBLIC HEARING

Livermore Housing Authority Commissioner Blayne Goodman called a Public Hearing to order on March 6, 2012 at 5:30PM. The Hearing was to review and hear comments on the final Draft of the 2012 Annual Plan. Staff provided copies of the Plan and answered questions. All other supportive documents were also made available for review and/or comments. Discussion resulted in no change to the Plan.

The meeting was adjourned at 6:05PM.

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Civil Rights Certification

Ca074102

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of the City of Livermore

074

PHA Name

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

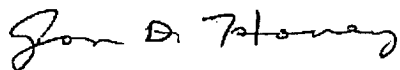
Name of Authorized Official

Jon D. Hovey

Title

Executive Director

Signature



Date

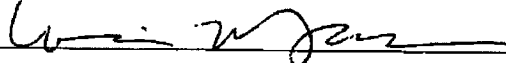
11/10/12

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, LINDA GARDNER the HOUSING DIRECTOR certify that the Five Year and
Annual PHA Plan of the LIVERMORE HOUSING AUTHORITY is consistent with the Consolidated Plan of
ALAMEDA COUNTY HOME CONSORTIUM prepared pursuant to 24 CFR Part 91.

 3/12/12

Signed / Dated by Appropriate State or Local Official

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 08/31/2011

Part I: Summary

PHA Name: Housing Authority of the City of Livermore		Grant Type and Number CA39P07450109 Capital Fund/Program Grant No: _____ Date of CFP: _____		Replacement Housing Factor Grant No: _____		FFY of Grant: 2009 FFY of Grant Approval: 2009	
Type of Grant							
Original Annual Statement Performance and Evaluation Report for Period Ending:		Reserve for Disasters/Emergencies		Revised Annual Statement (revision no: _____) Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Total Estimated Cost	Revised ³	Obligated	Total Actual Cost ¹	Expended
1	Total non-CFP Funds						
2	1406 Operations (may not exceed 20% of line 21) ³	\$215,809			\$215,809		\$215,809
3	1408 Management Improvements						
4	1410 Administration (may not exceed 10% of line 21)						
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs						
8	1440 Site Acquisition						
9	1450 Site Improvement						
10	1460 Dwelling Structures						
11	1465.1 Dwelling Equipment - Nonexpendable						
12	1470 Non-dwelling Structures						
13	1475 Non-dwelling Equipment						
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities ⁴						
18a	1501 Collateralization or Debt Service paid by the PHA						
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment						
19	1502 Contingency (may not exceed 8% of line 20)						
20	Amount of Annual Grant (sum of lines 2 - 19)	\$215,809					
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 504 Activities						
23	Amount of line 20 Related to Security - Soft Costs						
24	Amount of line 20 Related to Security - Hard Costs						
25	Amount of line 20 Related to Energy Conservation Measures						

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 08/31/2011

Part I: Summary			
PHA Name:		Grant Type and Number CA39P07450109	FFY of Grant: 2009
Housing Authority of the City of Livermore		Capital Fund Program Grant No:	FFY of Grant Approval: 2009
Reserve for Disasters/Emergencies		Replacement Housing Factor Grant No:	
Type of Grant		Revised Annual Statement (revision no:)	
Original Annual Statement		Final Performance and Evaluation Report	
Performance and Evaluation Report for Period Ending:			
Line	Summary by Development Account	Total Estimated Cost	Obligated
Signature of Executive Director		Date	Signature of Public Housing Director
6/26/12			Date
		Total Actual Cost	Expended

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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 08/31/2011

PHA Name:	Grant Type and Number	CA039P07450109
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PHA Name:	City of Livermore	Grant Type and Number	CA039P07450109	CFPP (Yes/No):
Authorizing Authority of the	Capital Fund Program Grant No:			

Federal FY of Grant:[illegible]

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 08/31/2011

PHA Name:

[illegible]

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

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 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 08/31/2011

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Housing Authority of the City of Livermore		Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)	Reasons for Revised Target Dates		
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

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Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 08/31/2011

Part I: Summary		Grant Type and Number CA39P07450110		FFY of Grant: 2010	
PHA Name: Housing Authority of the City of Livermore		Capital Fund/Program Grant No:		FFY of Grant Approval: 2010	
Date of CFP:		Replacement Housing Factor Grant No:			
Type of Grant					
Original Annual Statement Performance and Evaluation Report for Period Ending:		Reserve for Disasters/Emergencies		Revised Annual Statement (revision no:) Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³		\$215,287	\$215,287	\$35,891.30
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465 1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495 1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)		\$215,287		
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 08/31/2011

Part I: Summary			
PHA Name: Housing Authority of the City of Livermore		Grant Type and Number Capital Fund Program Grant No. CA39P07450110 Date of CFFP: _____	
		Replacement Housing Factor Grant No: _____	
		FFY of Grant: 2010 FFY of Grant Approval: 2010	
Type of Grant Original Annual Statement Performance and Evaluation Report for Period Ending: _____			
Reserve for Disasters/Emergencies Revised Annual Statement (revision no:) Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original Revised	Obligated Expended
Signature of Executive Director <i>Jon D. Johnson</i>		Date 6/26/12	Signature of Public Housing Director Date

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Office of Public and Indian Housing
Expires 08/31/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

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[illegible]² To be completed for the Performance and Evaluation Report.

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Expires 08/31/2011

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Office of Public and Indian Housing
Expires 08/31/2011

PHA Name: Housing Authority of the City of Livermore		Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)	Reasons for Revised Target Dates
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date

form HUD-50075.1 (4/2008)

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 08/31/2011

Part I: Summary

PHA Name: Housing Authority of the
 City of Livermore

Grant Type and Number CA39P07450111
Capital Fund/Program Grant No.:
Date of CFFP:

Replacement Housing Factor Grant No.:

FFY of Grant: 2011
FFY of Grant Approval:
 2011

Type of Grant		Reserve for Disasters/Emergencies		Revised Annual Statement (revision no.)		Final Performance and Evaluation Report	
Original Annual Statement		Performance and Evaluation Report for Period Ending:		Total Estimated Cost		Total Actual Cost ¹	
Line	Summary by Development Account			Original	Revised ²	Obligated	Expended
1	Total non-CFFP Funds						
2	1406 Operations (may not exceed 20% of line 21) ³			\$185,926		\$20,000	\$18,884.
3	1408 Management Improvements						
4	1410 Administration (may not exceed 10% of line 21)						
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs						
8	1440 Site Acquisition						
9	1450 Site Improvement						
10	1460 Dwelling Structures						
11	1465.1 Dwelling Equipment—Nonexpendable						
12	1470 Non-dwelling Structures						
13	1475 Non-dwelling Equipment						
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities ⁴						
18a	1501 Collateralization or Debt Service paid by the PHA						
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment						
19	1502 Contingency (may not exceed 8% of line 20)						
20	Amount of Annual Grant: (sum of lines 2 – 19)			\$185,926			
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 504 Activities						
23	Amount of line 20 Related to Security – Soft Costs						
24	Amount of line 20 Related to Security – Hard Costs						
25	Amount of line 20 Related to Energy Conservation Measures						

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 08/31/2011

Part I: Summary			
PHA Name:		Grant Type and Number	CAO39P07450111
Housing Authority of the City of Livermore		Capital Fund/Program Grant No:	Replacement Housing Factor Grant No:
		Date of CFFP:	
Type of Grant		Reserve for Disaster/Emergencies	
Original Annual Statement		Revised Annual Statement (revision no:)	
Performance and Evaluation Report for Period Ending:		Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
Signature of Executive Director		Obligated	Expended
Date		Date	
6/26/12			

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PHA Name:	Grant Type and Number
	CA39P07450111

CEFD (V-22/B1-)

Federal FY of Grant:

City of Livermore
Housing Authority of the
Capital Fund Program Grant No:
Replacement Housing Factor Grant No:

[illegible]

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

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Expires 08/31/2011

PHA Name:

Federal FY of Grant:

CHHP (Yes/No):

Replacement Housing Factor Grant No:

Status of Work

15 JANUARY 2005

U.S. Department of Housing and Urban Development
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Expires 08/31/2011

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form HUD-50075.1 (4/2008)